JC04 PE'd PUT/PTO 07 NOV 2005

PATENT AND TRADEMARI

In re Application of: Graeme BILBE

Serial No. 10/520,133 IA Filing Date: July 10, 2003

For: GENES ASSOCIATED WITH SCHIZOPHRENIA.

Atty's Dkt: BILBE1

**Application Division** 

ATTN: PCT Washington, D.C.

Confirmation No. 7245

Date: November 7, 2005 (MONDAY)

# LATE SUBMISSION OF DECLARATION AND/OR TRANSLATION IN APPLICATION FILED UNDER 35 USC 371

U.S. Patent and Trademark Office **Customer Service Window** Randolph Building, Mail Stop Missing Parts 401 Dulany Street Alexandria, VA 22314

Sir:

The present communication is in response to the "NOTICE OF MISSING REQUIREMENTS UNDER 35 USC 371..." dated September 6, 2005. Attached hereto is/are:

- Three (3) declarations in compliance with 37 C.F.R. 1.63, identifying the present application by title and priority information. [XX]
- [XX A Preliminary Amendment [XX] Fees are reduced due to elimination of claim multiple dependencies.

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- [XX] Copy of the "Sequence Listing" in computer readable form (CRF) and statement that contents of the CRF are the same as the paper copy.
- [XX] A Supplemental Application Data Sheet (ADS).
- Additional fees as calculated below: [XX]

[XX] Search fee			\$400.00
[XX] Examination fee			\$200.00
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [XX] 30 months			\$130.00
from the earliest claimed priority date (37 CFR 1.492(e)).			
Number of each additional 50 pages or fraction thereof (round up to a whole number)			1
		X \$250.00	
Number of Claims Previously Paid For	Number of Extra Claims	Rate	_
28- 20 =		X \$ 50.00	\$
7- 3 =	4	X \$200.00	\$800.00
Multiple Dependent Claims (if applicable) + \$360.00			\$
	\$1,530.00		
Reduction of ½ for fill See 37 CFR 1.27.	<\$ >		
		SUBTOTAL	\$1,530.00
Processing fee of \$130.00 for late furnishing of the English translation.			\$
	7-100	TOTAL NATIONAL FEE	\$1,530.00
Total food on	ologod: \$ 1 520 00		

Total fees enclosed: \$ 1,530.00 [XX]

- Credit Card Payment Form, PTO-2038, authorizing payment the amount of \$ 1,530.00 is enclosed to cover the above fees. (XXI
- [XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, P.L.L.C.

Attorneys for Applicant(s)

By:

Allen C. Yun-

Registration No. 37,971

ACY:ses

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## United States Patent and Trademark Office

UMB

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspib.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT		ATTY. DOCKET NO.	
10/520,133	Graeme Bilbe		BILBE1	
	INTER		NATIONAL APPLICATION NO.	
			PCT/EP03/07491	
DECIMON AND NEIMARK BLL CO		I.A. FIL	ING DATE	PRIORITY DATE
BROWDY AND NEIMARK, P.L.L.C 624 NINTH STREET, NW SUITE 300	RECEVIED	07/10	0/2003	07/11/2002
WASHINGTON, DC 20001-5303	SEP-9 2005:	CONFIRMATION NO. 724 371 FORMALITIES LETTER *OC00000016856550*		
Date Mailed: 09/06/2005				

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/03/2005
- Copy of the International Search Report filed on 01/03/2005
- Copy of IPE Report filed on 01/03/2005
- Request for Immediate Examination filed on 01/03/2005
- U.S. Basic National Fees filed on 01/03/2005
- Priority Documents filed on 01/03/2005
- Specification filed on 01/03/2005
- Claims filed on 01/03/2005
- Abstracts filed on 01/03/2005

MSP/SED = DGN02005.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$3360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$4090 for a Large Entity:

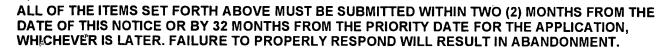
## • \$130 Surcharge.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- The application search fee has not been paid. Applicant must submit \$400 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- The application examination fee has not been paid. Applicant must submit \$200 to complete the
  examination fee for a non-small entity. Note a surcharge will be required if submitted later than
  commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before
  July 1, 2005.
- Total additional claim fee(s) for this application is \$ 3360
  - \$2400 for 12 independent claims over 3.
  - \$600 for 12 total claims over 20.
  - \$360 for multiple dependent claim surchare.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov



The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

## PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/520,133	PCT/EP03/07491	BILBE1

FORM PCT/DO/EO/905 (371 Formalities Notice)